

**IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF COLORADO**

Judge John L. Kane

Master Docket No. 09-md-02063-JLK-KMT (MDL Docket No. 2063)

IN RE: OPPENHEIMER ROCHESTER FUNDS GROUP SECURITIES LITIGATION

This Document Relates To:

All Actions Except Those Involving: The Oppenheimer California Municipal Fund

**SUPPLEMENTAL DECLARATION OF STEPHANIE THURIN OF CLAIMS
ADMINISTRATOR EPIQ**

I, Stephanie Thurin, declare and state as follows:

1. I am a Project Manager employed by Epiq Class Action & Claims Solutions, Inc. (“Epiq”). The following statements are based on my personal knowledge and information provided by other Epiq employees working under my supervision, and if called on to do so, I could and would testify competently thereto.

2. Epiq is the Claims Administrator retained by Class Counsel and appointed by the Court to serve as the Claims Administrator pursuant to paragraph 10 of the Court’s Order Preliminarily Approving Settlements and Providing for Notice (“Preliminary Approval Order”) entered March 4, 2014 in the six actions consolidated under the caption above (the “Actions”).

3. I previously submitted a declaration executed on June 10, 2014 (the “Initial Declaration”) (Dkt. No. 506-12). I submit this “Supplemental Declaration” to provide the Court and the parties in the Actions with updated information regarding the mailing of the Court-approved Notice of Pendency and Proposed Settlements of Class Actions and Notice of Motion for Awards of Attorneys’ Fees and Reimbursement of Expenses (the “Notice”), the Proof of

Claim form (“Proof of Claim”), and the Record of Fund Transactions (“ROFT”) and the receipt of additional requests for exclusion.

4. As more fully described in my Initial Declaration, Epiq sent an ROFT to those Class Members for whom Epiq had obtained transaction data and sent a Proof of Claim to those Class Members for whom Epiq has been unable to obtain transaction data (or has only incomplete transaction data). Together, the Notice and Proof of Claim are referred to as a “Claim Packet.” Together, the Notice and ROFT are referred to as a “ROFT Packet.” The Claim Packet and the ROFT Packet are referred to as “Notice Packets.” As indicated in my Initial Declaration, as of June 6, 2014, Epiq had mailed a total of 577,712 Notice Packets to potential Class Members, including 143,704 Claim Packets and 434,008 ROFT Packets.

ONGOING DIRECT MAIL NOTICE

5. Epiq continues to receive additional requests for Claim Packets and for ROFT Packets and undeliverable mail returned with updated addresses.

6. As more fully described in my Initial Declaration, Epiq reached out to the 66 brokers and nominees Oppenheimer identified as having held omnibus accounts with underlying clients who may be members of one or more of the Classes. As of June 6, 2014, 40 of these 66 brokers and nominees had either produced the names and addresses of potential Class Members, submitted transactional data, or confirmed that their data was submitted by another larger broker-nominee or clearing firm. Since June 6, 2014, and as of July 22, 2014, an additional 13 brokers and nominees identified by Oppenheimer have responded. Thus, as of July 22, 2014, 53 of the 66 brokers and nominees identified by Oppenheimer have responded. The majority of the broker-nominees and clearing firms holding the largest number of shares have provided information. To date, 80% of the brokers and nominees identified by Oppenheimer have produced the names and addresses of potential Class Members, submitted transactional data, or confirmed that their data

was submitted by another larger broker-nominee or clearing firm. It is standard in similar matters to receive approximately a 75% response rate; therefore the broker response in this matter has been very positive.

7. Since June 6, 2014, Epiq has mailed an additional 61,639 Notice Packets to potential Class Members, including 39,246 Claim Packets and 22,393 ROFT Packets. Therefore, the total number of Notice Packets mailed as of July 22, 2014 is 639,351, including 182,950 Claim Packets and 456,401 ROFT Packets.

UPDATE ON CALL CENTER SERVICES

8. As more fully stated in my Initial Declaration, Epiq had established a toll-free phone number for the Settlements, (877) 853-2535, with an Interactive Voice Response (“IVR”) system available 24 hours a day, 7 days a week and with an option to speak to a live operator Monday through Friday from 6:00 a.m. to 6:00 p.m. Pacific Time (excluding official holidays). The toll-free number is still operational.

9. As of June 6, 2014, Epiq had received 14,256 calls, for a total of 166,232 minutes, to the toll-free number. As of July 22, 2014, Epiq has received a total of (a) 17,358 calls, for a total of 119,026 minutes, handled by the IVR system, and (b) a total of 10,873 calls, for a total of 100,597.87 minutes, handled by live operators or supervisors.

10. Epiq will continue operating, maintaining, and, as appropriate, updating the IVR until the conclusion of the administration of the Settlements. Epiq will also continue providing live operator support until the conclusion of the administration of the Settlements.

UPDATE ON WEBSITE FOR THE SETTLEMENTS

11. As more fully stated in my Initial Declaration, Epiq hosts a website dedicated to the Settlements (*www.oppenheimersettlement.com*) to provide additional information to Class Members and to answer frequently asked questions.

12. Since the filing of my Initial Declaration, Epiq posted copies of the papers in support of approval of the Settlements and Plan of Allocation and in support of Class Counsel's request for an award of attorneys' fees and expenses that were filed by Class Counsel with the Court on June 11, 2014 (Dkt. Nos. 504, 505, and 506) to the website.

13. As of July 22, 2014, there have been 9,062 unique visitors to the website in which 62,255 website pages were viewed.

14. Epiq will continue to update the website as needed until the end of the settlement administration.

POST OFFICE BOX & WRITTEN COMMUNICATIONS

15. As more fully stated in my Initial Declaration, Epiq reserved a post office box to receive written communications in the Settlements.

16. Epiq has received, and continues to receive, written communications at this post office box, including Proofs of Claim, fund transaction dispute forms as well as other communications.

17. The deadline for submitting Proofs of Claim or fund transaction disputes is August 28, 2014. As of July 22, 2014 Epiq has received 27,624 Proofs of Claim and 1,048 fund transaction dispute forms. Epiq is in the process of reviewing the Proofs of Claim and transaction dispute forms and corresponding with Claimants regarding any deficiencies in their submissions.

UPDATED REPORT ON RECEIPT OF REQUESTS FOR EXCLUSION

18. Pursuant to the Court's Preliminary Approval Order, Class Members who wished to be excluded from any of the Classes were required to do so in writing so that the request is postmarked by July 2, 2014. The July 2, 2014 postmark deadline for requesting exclusion has now passed. As of the date of my Initial Declaration, Epiq had received 12 requests for exclusion. As of the date of this Supplemental Declaration, Epiq has received an additional 19 requests for exclusion, for a total of 31 requests for exclusion. A summary report of all 31 exclusion requests is attached hereto as **Exhibit A**. A person or entity may be a member of multiple Classes, so if the request identifies a specific Settlement or Settlements, the exhibit reports which Settlement or Settlements. If the request does not identify a specific Settlement or Settlements, Epiq has deemed it as a request for exclusion from all six Settlements. Should the Court require additional information concerning these requests for exclusion, Epiq will provide it to the Court. Epiq will continue to be the repository for exclusion requests and will report any exclusion requests that are received.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on July 23, 2014, at Beaverton, Oregon.



Stephanie Thurin

Exhibit A

Oppenheimer Rochester Funds
 Securitites Litigation
 Requests For Exclusion
 Detail



	Doc ID	Postmark Date	Received Date	Name	Fund
1	900000001	5/7/2014	5/12/2014	MARY VIRGINIA BODE REV LIV TR	AMT Free Fund
2	900000027	6/24/2014	6/27/2014	STEVEN RAKOFF & AMY RAKOFF	AMT Free Fund
3	900000002	5/13/2014	5/15/2014	EDWARD D JONES & CO CUSTODIAN FBO THOMAS C UHLEMANN IRA	National Fund
4	900000034	7/1/2014	7/7/2014	EDWARD G STRIBICK & SHARON S STRIBICK JT TEN WROS NOT TC	National Fund
5	900000012	6/6/2014	6/9/2014	ETHELENE C HAYES AND EARL E HAYES JTWROS	National Fund
6	900000006	5/16/2014	5/19/2014	GEORGE PAUL KAZOKAS	National Fund
7	900000033	7/2/2014	7/7/2014	HENRY PFEIFFER TOD SUBJECT TO STA TOD RULES NE	National Fund
8	900000016	6/11/2014	6/16/2014	JOHN H GOYKE AND PEGGY C GOYKE(DECED) JTWROS	National Fund
9	900000035	7/1/2014	7/7/2014	KENNETH ALLEN MORRISON TTEE THE GROSS-MORRISON TRUST U/A DTD 09/05/2006	National Fund
10	900000015	6/14/2014	6/16/2014	KENNETH J THOMPSON C/O DAVE THOMPSON	National Fund
11	900000003	5/13/2014	5/15/2014	ROBERT COOPER	National Fund
12	900000007	5/19/2014	5/22/2014	SONJA M FRISINO	National Fund
13	900000021	6/20/2014	6/23/2014	THOMAS J HIMBER	National Fund
14	900000005	5/14/2014	5/16/2014	UBS FINANCIAL SERVICES INC FBO WILLIAM R COULSON & ELIZABETH COULSON	National Fund
15	900000024	6/24/2014	6/26/2014	ELIZABETH S HALL	New Jersey Fund
16	900000020	6/19/2014	6/23/2014	DONALD R MONROE SHIRLEY G MONROE JT TEN	Pennsylvania Fund
17	900000011	5/21/2014	5/27/2014	ANTOINETTE CARRANO	Rochester Fund
18	900000010	Not Present	6/5/2014	CATHERINE W LAYNE	Rochester Fund
19	900000025	6/23/2014	6/26/2014	FIRST CLEARING LLC AC 1880-8464, ANNIE BURKE CO EDWARD PRYLE	Rochester Fund
20	900000014	6/10/2014	6/16/2014	MILLICENT K PORTER TIC	Rochester Fund
21	900000018	6/16/2014	6/19/2014	NFS LLC FEBO JOHN BARULICH	Rochester Fund
22	900000019	6/16/2014	6/19/2014	NFS LLC FEBO MILENA HANSEN	Rochester Fund
23	900000009	5/19/2014	5/21/2014	UMAR FAROOQ BADSHAH	Rochester Fund
24	900000008	5/28/2014	6/2/2014	VINCENT DELISI ON BEHALF OF MARIE R DELISI TOD LISA A DELISI	Rochester Fund
25	900000013	6/10/2014	6/16/2014	WILLIAM S PORTER JR	Rochester Fund
26	900000017	6/16/2014	6/19/2014	UBS FINANCIAL SERVICES INC FBO CLYDE CHARLES KUSHMEADER LINDA G KUSHMEADER JTWROS	National Fund and Pennsylvania Fund
27	900000030	6/24/2014	6/27/2014	STEVEN RAKOFF	Rochester Fund and AMT Free Fund
28	900000036	7/1/2014	7/7/2014	ESTATE OF ALBERT A ANAYA ANGELA GILMETTE, EXECUTOR	Unidentified or Unrelated Fund - Considered to Have Requested Exclusion from All Six Settlements
29	900000004	5/14/2014	5/16/2014	JOANNE D ANASTASIO	Unidentified or Unrelated Fund - Considered to Have Requested Exclusion from All Six Settlements
30	900000032	6/30/2014	7/3/2014	LINDA Q BUNCH	Unidentified or Unrelated Fund - Considered to Have Requested Exclusion from All Six Settlements
31	900000031	6/25/2014	6/30/2014	PETER VENERDI	Unidentified or Unrelated Fund - Considered to Have Requested Exclusion from All Six Settlements